

**Format to be submitted by listed entity on quarterly basis**

1. Name of Listed Entity - **Mawana Sugars Limited**
2. Quarter ending - **31-Mar-2023**

**i. Composition Of Board Of Director**

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chairperson /Executive/Non-Executive/Independent/Nominee)	Initial Date of Appointment	Date of Appointment	Tenure	Date of Birth	Whether the director is disqualified?	Current status	Whether special resolution passed? [Refer Reg. 17 (1A) of Listing Regulations]	Date of passing special resolution	No. of Directorship in listed entities including this listed entity [in reference to Regulation 17A (1)]	No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A (1)]	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company
Mr.	Dharam Pal Sharma	07259344	ARMPS8801L	ED	13-Aug-2015	29-Sep-2022		06-Jan-1953	No	Active	NA		1	0	1	0	SC,RC
Mrs.	Manju Vira Gupta	05186954	AAPPG0427L	ID	26-Dec-2018	26-Dec-2018	51	14-Dec-1943	No	Active	Yes	30-Mar-2019	1	1	2	1	AC,SC,RC,NRC
Mr.	Satish Agrawal	00167589	ADSPA4066F	ID	03-Nov-2021	03-Nov-2021	17	24-Mar-1982	No	Active	NA		1	1	2	1	AC,SC,RC,NRC
Mr.	Krishna Shriram	00031783	AABPS4899L	C,NED	31-May-2021	31-May-2021		03-Jun-1970	No	Active	NA		1	0	1	0	AC,NRC
Mr.	Mukesh Chauhan	09387262	AANPC1189K	NED	03-Nov-2021	03-Nov-2021		01-Jun-1959	No	Active	NA		1	0	0	0	
Mr.	Arun Kumar Kaul	09127653	AAOPK1474E	ID	10-Aug-2022	10-Aug-2022	8	19-Aug-1950	No	Active	NA		1	1	0	0	

Company Remarks	
Whether Regular chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

ii. **Composition of Committees**

**a. Audit Committee**

<i>Sr. No.</i>	<i>Name of Committee members</i>	<i>Category</i>	<i>Chairperson/Member</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
1	Manju Vira Gupta	ID	Member	08-Feb-2019	
2	Satish Agrawal	ID	Chairperson	25-Jun-2021	
3	Krishna Shriram	C,NED	Member	03-Nov-2021	

Company Remarks	
Whether Regular chairperson appointed	Yes

**b. Stakeholders Relationship Committee**

<i>Sr. No.</i>	<i>Name of Committee members</i>	<i>Category</i>	<i>Chairperson/Member</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
1	Manju Vira Gupta	ID	Chairperson	08-Feb-2019	
2	Satish Agrawal	ID	Member	03-Nov-2021	
3	Dharam Pal Sharma	ED	Member	03-Nov-2021	

Company Remarks	
Whether Regular chairperson appointed	Yes

**c. Risk Management Committee**

<i>Sr. No.</i>	<i>Name of Committee members</i>	<i>Category</i>	<i>Chairperson/Member</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
1	Satish Agrawal	ID	Chairperson	03-Nov-2021	
2	Manju Vira Gupta	ID	Member	03-Nov-2021	
3	Dharam Pal Sharma	ED	Member	09-Jun-2017	
4	B B Mehta	Chief Financial Officer	Member	08-Feb-2019	14-Mar-2023
5	R K Gangwar	Executive Director - Operations	Member	09-Jun-2017	

Company Remarks	
Whether Regular chairperson appointed	Yes

**d. Nomination and Remuneration Committee**

<i>Sr. No.</i>	<i>Name of Committee members</i>	<i>Category</i>	<i>Chairperson/Member</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
1	Manju Vira Gupta	ID	Chairperson	08-Feb-2019	
2	Satish Agrawal	ID	Member	03-Nov-2021	
3	Krishna Shriram	C,NED	Member	03-Nov-2021	

Company Remarks	
Whether Regular chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present (All directors including Independent Director)	No. of Independent Directors attending the meeting
14-Nov-2022	Yes	6	6	3
14-Feb-2023	Yes	6	6	3
29-Mar-2023	Yes	6	6	3

Company Remarks	
Maximum gap between any two consecutive (in number of days)	91

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors present (All directors including Independent Director)	Number of Independent directors attending the meeting	Number of Members attending the Meeting (Other than Board of Directors)
Audit Committee	14-Nov-2022	Yes	3	3	2	0
Audit Committee	14-Feb-2023	Yes	3	3	2	0
Nomination & Remuneration Committee	14-Feb-2023	Yes	3	3	2	0
Stakeholders Relationship Committee	29-Mar-2023	Yes	3	3	2	0

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	91

v. Related Party Transactions

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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**VI. Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee - **Yes**
  - b. Nomination & remuneration committee - **Yes**
  - c. Stakeholders relationship committee - **Yes**
  - d. Risk management committee (applicable to the top 1000 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**  
b. Any comments/observations/advice of Board of Directors may be mentioned here:

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**Name** : **Ashok Kumar Shukla**  
**Designation** : **Compliance Officer**

**ANNEXURE II**

**Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)**

<b>I. Disclosure on website in terms of Listing Regulations</b>			
<b>Item</b>	<b>Compliance status (Yes/No/NA)</b>	<b>Company Remark</b>	<b>Website</b>
<b>As per regulation 46(2) of the LODR:</b>			
Details of business	Yes		<a href="https://www.mawanasugars.com/">https://www.mawanasugars.com/</a>
Terms and conditions of appointment of independent	Yes		<a href="https://www.mawanasugars.com/">https://www.mawanasugars.com/</a>
Composition of various committees of board of	Yes		<a href="https://www.mawanasugars.com/">https://www.mawanasugars.com/</a>
Code of conduct of board of directors and senior	Yes		<a href="https://www.mawanasugars.com/">https://www.mawanasugars.com/</a>
Details of establishment of vigil mechanism/ Whistle	Yes		<a href="https://www.mawanasugars.com/">https://www.mawanasugars.com/</a>
Criteria of making payments to non-executive directors	Yes		<a href="https://www.mawanasugars.com/">https://www.mawanasugars.com/</a>
Policy on dealing with related party transactions	Yes		<a href="https://www.mawanasugars.com/">https://www.mawanasugars.com/</a>
Policy for determining 'material' subsidiaries	Yes		<a href="https://www.mawanasugars.com/">https://www.mawanasugars.com/</a>
Details of familiarization programs imparted to	Yes		<a href="https://www.mawanasugars.com/">https://www.mawanasugars.com/</a>
Email address for grievance redressal and other relevant details entity who are responsible for assisting	Yes		<a href="https://www.mawanasugars.com/contact-us.php">https://www.mawanasugars.com/contact-us.php</a>
Contact information of the designated officials of the	Yes		<a href="https://www.mawanasugars.com/">https://www.mawanasugars.com/</a>
Financial results	Yes		<a href="https://www.mawanasugars.com/">https://www.mawanasugars.com/</a>
Shareholding pattern	Yes		<a href="https://www.mawanasugars.com/">https://www.mawanasugars.com/</a>
Details of agreements entered into with the media companies and/or their associates	Not Applicable		
Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or	Not Applicable		
New name and the old name of the listed entity	Not Applicable		
Advertisements as per regulation 47 (1)	Yes		<a href="https://www.mawanasugars.com/">https://www.mawanasugars.com/</a>
Credit rating or revision in credit rating obtained by the	Yes		<a href="https://www.mawanasugars.com/">https://www.mawanasugars.com/</a>
Separate audited financial statements of each	Yes		<a href="https://www.mawanasugars.com/">https://www.mawanasugars.com/</a>
<b>As per other regulations of the LODR:</b>			
Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		<a href="http://www.manawanasugars.com">www.manawanasugars.com</a>
Materiality Policy as per Regulation 30	Yes		<a href="https://www.mawanasugars.com/">https://www.mawanasugars.com/</a>
Dividend Distribution policy as per Regulation 43A (as	Not Applicable		
It is certified that these contents on the website of the	Yes		<a href="http://www.manawanasugars.com">www.manawanasugars.com</a>
<b>II Annual Affirmations</b>			
<b>Particulars</b>	<b>Regulation Number</b>	<b>Compliance status (Yes/No)</b>	<b>Company Remark</b>

<i>Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'</i>	16(1)(b) & 25(6)	Yes	
<i>Board composition</i>	17(1), 17(1A) & 17(1B)	Yes	
<i>Meeting of Board of directors</i>	17(2)	Yes	
<i>Quorum of Board meeting</i>	17(2A)	Yes	
<i>Review of Compliance Reports</i>	17(3)	Yes	
<i>Plans for orderly succession for appointments</i>	17(4)	Yes	
<i>Code of Conduct</i>	17(5)	Yes	
<i>Fees/compensation</i>	17(6)	Yes	
<i>Minimum Information</i>	17(7)	Yes	
<i>Compliance Certificate</i>	17(8)	Yes	
<i>Risk Assessment &amp; Management</i>	17(9)	Yes	
<i>Performance Evaluation of Independent Directors</i>	17(10)	Yes	
<i>Recommendation of Board</i>	17(11)	Yes	
<i>Maximum number of directorship</i>	17A	Yes	
<i>Composition of Audit Committee</i>	18(1)	Yes	
<i>Meeting of Audit Committee</i>	18(2)	Yes	
<i>Composition of nomination &amp; remuneration committee</i>	19(1) & (2)	Yes	
<i>Quorum of Nomination and Remuneration Committee meeting</i>	19(2A)	Yes	
<i>Meeting of nomination &amp; remuneration committee</i>	19(3A)	Yes	
<i>Composition of Stakeholder Relationship Committee</i>	20(1), 20(2) and 20(2A)	Yes	
<i>Meeting of stakeholder relationship committee</i>	20(3A)	Yes	
<i>Composition and role of risk management committee</i>	21(1),(2),(3),(4)	Yes	
<i>Meeting of Risk Management Committee</i>	21(3A)	Not Applicable	
<i>Vigil Mechanism</i>	22	Yes	
<i>Policy for related party Transaction</i>	23(1),(1A),(5),(6),(7)	Yes	
<i>Prior or Omnibus approval of Audit Committee for all related party transactions</i>	23(2), (3)	Yes	

<i>Approval for material related party transactions</i>	23(4)	Yes	
<i>Disclosure of related party transactions on consolidated basis</i>	23(9)	Yes	
<i>Composition of Board of Directors of unlisted material Subsidiary</i>	24(1)	Yes	
<i>Other Corporate Governance requirements with respect to subsidiary of listed entity</i>	24(2),(3),(4),(5) & (6)	Yes	
<i>Annual Secretarial Compliance Report</i>	24(A)	Yes	
<i>Alternate Director to Independent Director</i>	25(1)	Yes	
<i>Maximum Tenure</i>	25(2)	Yes	
<i>Meeting of independent directors</i>	25(3) & (4)	Yes	
<i>Familiarization of independent directors</i>	25(7)	Yes	
<i>Declaration from Independent Director</i>	25(8) & (9)	Yes	
<i>D &amp; O Insurance for Independent Directors</i>	25(10)	Yes	
<i>Memberships in Committees</i>	26(1)	Yes	
<i>Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel</i>	26(3)	Yes	
<i>Disclosure of Shareholding by Non- Executive Directors</i>	26(4)	Yes	
<i>Policy with respect to Obligations of directors and senior management</i>	26(2) & 26(5)	Yes	

<b>Other Information</b>	
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### III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. - **Yes**

<b>Other Information</b>	
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**Name** : Ashok Kumar Shukla  
**Designation** : Company Secretary & Compliance Officer

**ANNEXURE IV**

%symbol%	%companyName%	%quarterEnded%
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**(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to**

<b>Aggregate amount advanced during six months</b>	<b>Balance outstanding at the end of six months</b>
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<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>	<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>
0	0	0	0	0	0	0	0

**(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:**

<b>Entity</b>	<b>Type (guarantee, comfort letter etc.)</b>	<b>Aggregate amount of issuance during six months</b>	<b>Balance outstanding at the end of six months (taking into account any invocation)</b>
<i>Promoter or any other entity controlled by them</i>	0	0	0
<i>Promoter Group or any other entity controlled by them</i>	0	0	0
<i>Directors (including relatives) or any other entity controlled by them</i>	0	0	0
<i>KMPs or any other entity controlled by them</i>	0	0	0

**(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:**

<b>Entity</b>	<b>Type of Security (cash, shares etc.)</b>	<b>Aggregate value of security provided during six months</b>	<b>Balance outstanding at the end of six months</b>

Promoter or any other entity controlled by them	0	0	0
Promoter Group or any other entity controlled by them	0	0	0
Directors (including relatives) or any other entity controlled by them	0	0	0
KMPs or any other entity controlled by them	0	0	0

(D) If the Listed Entity would like to provide any other information the same may be indicated here

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<b>Affirmations</b>	
<i>All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company</i>	
<b>Company Remarks in case of non-compliant status</b>	Mr. B. B. Mehta superannuated as CFO from the services of the Company with effect from 14.03.2023. In place of Mr. Mehta, Company has appointed Mr. Vikash as Head of Accounts & Finance of the Company and is proposed to be designated as CFO of the Company in the next Board Meeting. In absence of CFO and CEO, the present affirmation has been given by Mr. Dharam Pal Sharma, Whole-time Director of the Company.

<p><b>Name:</b> Mr. Dharam Pal Sharma  <b>Designation:</b> Chief Financial Officer  <b>Place:</b> Gurgaon  <b>Date:</b> 21-Apr-2023</p>
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