

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **Mawana Sugars Limited**
 2. Quarter ending - **31-Mar-2017**

i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chairperson /Executive/Non-Executive/ Independent/ Nominee)	Date of Appointment	Tenure	Date of passing special resolution	No. of Directorship in listed entities including this listed entity [in reference to Regulation 17A (1)]	No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A (1)]	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company	Remarks
Mr.	Dharam Pal Sharma	07259344	ARMPS8801L	ED	13-Aug-2015	5 Years		0	1	0	0	NA	
Mr.	Dinesh Mohan	00077959	AEJPM9529H	NED,ID	23-Mar-2015	5 Years		0	1	2	2	AC,SC,RMC,NRC	
Mr.	Ravinder Singh Bedi	01408189	AFBPB3894F	ID,C & NED	23-Mar-2015	5 Years		0	1	2	0	AC,SC,RMC,NRC	
Mr.	Piar Chand Jaswal	07100098	AGQPJ9022K	NED	13-Jun-2016			0	1	2	0	AC,SC,RMC,NRC	
Mrs.	Parmjit Kaur	06714249	AANPK0690D	NED,ID	13-Jun-2016	5 Years		0	1	0	0	NA	

Company Remarks	
Whether Permanent chairperson appointed	
Whether Chairperson is related to MD or CEO	

ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Dinesh Mohan	NED,ID	Chairperson		
2	Ravinder Singh Bedi	ID,C & NED	Member		
3	Piar Chand Jaswal	NED	Member		

Company Remarks	
Whether Permanent chairperson appointed	

b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Ravinder Singh Bedi	ID,C & NED	Member		
2	Piar Chand Jaswal	NED	Member		
3	Dinesh Mohan	NED,ID	Chairperson		

Company Remarks	
Whether Permanent chairperson appointed	

c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Ravinder Singh Bedi	ID,C & NED	Member		
2	Piar Chand Jaswal	NED	Member		
3	Dinesh Mohan	NED,ID	Chairperson		

Company Remarks	
Whether Permanent chairperson appointed	

d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Dinesh Mohan	NED,ID	Chairperson		
2	Ravinder Singh Bedi	ID,C & NED	Member		
3	Piar Chand Jaswal	NED	Member		

Company Remarks	
Whether Permanent chairperson appointed	

iii. Meeting of Board of Directors

Date(s) of meeting of the committee(s) in the previous quarter and in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present (All directors including Independent Director)	Number of Independent Directors present
11-Nov-2016				
18-Nov-2016				

Company Remarks	
Maximum gap between any two consecutive (in number of days)	87

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting of the committee(s) in the previous quarter and in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Total Number of Directors attended the meeting (All directors including Independent Director)	Number of Independent directors attending the meeting	Number of Members attending the Meeting (Other than Board of Directors)
Audit Committee	11-Nov-2016			Yes		
Stakeholders Relationship Committee	24-Oct-2016			Yes		
Stakeholders Relationship Committee	24-Oct-2016			Yes		

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	94

v. **Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Not Applicable	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	Company has done RPT under ordinary course of business based on arm length price and placed before the audit committee and board meeting for their review and noting.
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VI. **Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
b. Any comments/observations/advice of Board of Directors may be mentioned here:

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Name : **Ashok Kumar Shukla**
Designation : **Company Secretary**

ANNEXURE II

Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations			
Item	Compliance status (Yes/No/NA)	Company Remark	Website
As per regulation 46(2) of the LODR:			
Details of business	Yes		
Terms and conditions of appointment of independent directors	Yes		
Composition of various committees of board of directors	Yes		
Code of conduct of board of directors and senior management	Yes		
Details of establishment of vigil mechanism/ Whistle Blower	Yes		
Criteria of making payments to non-executive directors	Yes		
Policy on dealing with related party transactions	Yes		
Policy for determining 'material' subsidiaries	Yes		
Details of familiarization programs imparted to independent	Yes		
Email address for grievance redressal and other relevant details entity who are responsible for assisting and handling	Yes		
Contact information of the designated officials of the listed	Yes		
Financial results	Yes		
Shareholding pattern	Yes		
Details of agreements entered into with the media companies and/or their associates	Not Applicable		
Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or			
New name and the old name of the listed entity	Not Applicable		
Advertisements as per regulation 47 (1)			
Credit rating or revision in credit rating obtained by the entity			
Separate audited financial statements of each subsidiary of the			
As per other regulations of the LODR:			
Whether company has provided information under separate section on its website as per Regulation 46(2)			
Materiality Policy as per Regulation 30			
Dividend Distribution policy as per Regulation 43A (as			
It is certified that these contents on the website of the listed			
II Annual Affirmations			

Particulars	Regulation Number	Compliance status (Yes/No/)	Company Remark
<i>Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'</i>	16(1)(b) & 25(6)	Yes	
<i>Board composition</i>	17(1), 17(1A) & 17(1B)	Yes	
<i>Meeting of Board of directors</i>	17(2)	Yes	
<i>Quorum of Board meeting</i>	17(2A)	Yes	
<i>Review of Compliance Reports</i>	17(3)	Yes	
<i>Plans for orderly succession for appointments</i>	17(4)	Yes	
<i>Code of Conduct</i>	17(5)	Yes	
<i>Fees/compensation</i>	17(6)	Yes	
<i>Minimum Information</i>	17(7)	Yes	
<i>Compliance Certificate</i>	17(8)	Not Applicable	
<i>Risk Assessment & Management</i>	17(9)	Yes	
<i>Performance Evaluation of Independent Directors</i>	17(10)	Yes	
<i>Recommendation of Board</i>	17(11)	Yes	
<i>Maximum number of directorship</i>	17A	Yes	
<i>Composition of Audit Committee</i>	18(1)	Yes	
<i>Meeting of Audit Committee</i>	18(2)	Not Applicable	
<i>Composition of nomination & remuneration committee</i>	19(1) & (2)	Yes	
<i>Quorum of Nomination and Remuneration Committee meeting</i>	19(2A)	Yes	
<i>Meeting of nomination & remuneration committee</i>	19(3A)	Yes	
<i>Composition of Stakeholder Relationship Committee</i>	20(1), 20(2) and 20(2A)	Not Applicable	
<i>Meeting of stakeholder relationship committee</i>	20(3A)	Not Applicable	
<i>Composition and role of risk management committee</i>	21(1),(2),(3),(4)	Yes	
<i>Meeting of Risk Management Committee</i>	22	Yes	
<i>Vigil Mechanism</i>	22	Yes	

<i>Policy for related party Transaction</i>	23(1),(1A),(5),(6),(7) & (8)	Yes	
<i>Prior or Omnibus approval of Audit Committee for all related party transactions</i>	23(2), (3)	Yes	
<i>Approval for material related party transactions</i>	23(4)	Yes	
<i>Disclosure of related party transactions on consolidated basis</i>	23(9)	Yes	
<i>Composition of Board of Directors of unlisted material Subsidiary</i>	24(1)	Yes	
<i>Other Corporate Governance requirements with respect to subsidiary of listed entity</i>	24(2),(3),(4),(5) & (6)		
<i>Annual Secretarial Compliance Report</i>	24(A)		
<i>Alternate Director to Independent Director</i>	25(1)		
<i>Maximum Tenure</i>	25(2)		
<i>Meeting of independent directors</i>	25(3) & (4)		
<i>Familiarization of independent directors</i>	25(7)		
<i>Declaration from Independent Director</i>	25(8) & (9)		
<i>D & O Insurance for Independent Directors</i>	25(10)		
<i>Memberships in Committees</i>	26(1)		
<i>Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel</i>	26(3)		
<i>Disclosure of Shareholding by Non- Executive Directors</i>	26(4)		
<i>Policy with respect to Obligations of directors and senior management</i>	26(2) & 26(5)		

Other Information	
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III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. - **Yes**

Other Information	
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Name : Ashok Kumar Shukla
Designation : Company Secretary

ANNEXURE IV

%symbol%	%companyName%	%quarterEnded%
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(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to

Aggregate amount advanced during six months	Balance outstanding at the end of six months
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<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>	<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>

(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
<i>Promoter or any other entity controlled by them</i>			
<i>Promoter Group or any other entity controlled by them</i>			
<i>Directors (including relatives) or any other entity controlled by them</i>			
<i>KMPs or any other entity controlled by them</i>			

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of Security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months

<i>Promoter or any other entity controlled by them</i>			
<i>Promoter Group or any other entity controlled by them</i>			
<i>Directors (including relatives) or any other entity controlled by them</i>			
<i>KMPs or any other entity controlled by them</i>			

(D) If the Listed Entity would like to provide any other information the same may be indicated here

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Affirmations	
<i>All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company</i>	
Company Remarks in case of non-compliant status	

Name: Designation: anx4Designation Place: Date:
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