

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **Mawana Sugars Limited**
 2. Quarter ending - **30-Sep-2022**

i. Composition Of Board Of Director

T i t l e (M r / M s)	Name of the Director	DIN	PAN	Category (Chairperson /Executive/Non-Executive/Independent/ Nominee)	Initial Date of Appointment	Date of Appointment	Tenure	Date of Birth	Whether the director is disqualified?	Current status	Whether special resolution passed? [Refer Reg. 17 (1A) of Listing Regulations]	Date of passing special resolution	No. of Directorship in listed entities including this listed entity [in reference to Regulation 17A (1)]	No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A (1)]	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company
Mr.	Dharam Pal Sharma	07259344	ARMP58801L	ED	13-Aug-2015	29-Sep-2022		06-Jan-1953	No	Active	NA		1	0	1	0	SC,RC
Mr.	Piar Chand Jaswal	07100098	AGQPJ9022K	NED	18-Feb-2015	29-Sep-2022		01-Apr-1961	No	Active	NA		1	0	0	0	
Mrs.	Manju Vira Gupta	05186954	AAPPG0427L	ID	26-Dec-2018	26-Dec-2018	45	14-Dec-1943	No	Active	Yes	30-Mar-2019	1	1	2	1	AC,SC,RC,NRC
Mr.	Satish Agrawal	00167589	ADSPA4066F	ID	03-Nov-2021	03-Nov-2021	11	24-Mar-1982	No	Active	NA		1	1	2	1	AC,SC,RC,NRC
Mr.	Krishna Shriram	00031783	AABPS4899L	C,NED	31-May-2021	31-May-2021		03-Jun-1970	No	Active	NA		1	0	1	0	AC,NRC
Mr.	Mukesh Chauhan	09387262	AANPC1189K	NED	03-Nov-2021	03-Nov-2021		01-Jun-1959	No	Active	NA		1	0	0	0	
Mr.	Arun Kumar Kaul	09127653	AAOPK1474E	ID	10-Aug-2022	10-Aug-2022	2	19-Aug-1950	No	Active	NA		1	1	0	0	

Company Remarks	
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Manju Vira Gupta	ID	Member	08-Feb-2019	
2	Satish Agrawal	ID	Chairperson	25-Jun-2021	
3	Krishna Shriram	C,NED	Member	03-Nov-2021	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Manju Vira Gupta	ID	Chairperson	08-Feb-2019	
2	Satish Agrawal	ID	Member	03-Nov-2021	
3	Dharam Pal Sharma	ED	Member	03-Nov-2021	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Satish Agrawal	ID	Chairperson	03-Nov-2021	
2	Manju Vira Gupta	ID	Member	03-Nov-2021	
3	Dharam Pal Sharma	ED	Member	09-Jun-2017	
4	B B Mehta	Chief Financial Officer	Member	08-Feb-2019	
5	R K Gangwar	Executive Director - Operations	Member	09-Jun-2017	

Company Remarks	
Whether Permanent chairperson appointed	Yes

d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Manju Vira Gupta	ID	Chairperson	08-Feb-2019	
2	Satish Agrawal	ID	Member	03-Nov-2021	
3	Krishna Shriram	C,NED	Member	03-Nov-2021	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of meeting of the committee(s) in the previous quarter and in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present (All directors including Independent Director)	Number of Independent Directors present
30-May-2022	Yes	6	6	2
10-Aug-2022	Yes	7	7	3

Company Remarks	
Maximum gap between any two consecutive (in number of days)	71

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting of the committee(s) in the previous quarter and in the relevant quarter relevant	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Total Number of Directors attended the meeting (All directors)	Number of Independent directors attending the meeting	Number of Members attending the Meeting (Other than Board of Directors)
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	quarter			including Independent Director)		
Audit Committee	30-May-2022	Yes	3		2	0
Audit Committee	10-Aug-2022	Yes	3		2	0
Nomination & Remuneration Committee	10-Aug-2022	Yes	3		2	0

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	71

v. **Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. **Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
5.
 - a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
 - b. Any comments/observations/advice of Board of Directors may be mentioned here:

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Name : Ashok Kumar Shukla
Designation : Compliance Officer

ANNEXURE III

Affirmations

Broad Heading	Regulation Number	Compliance Status	Company Remark
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

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Name : Ashok Kumar Shukla
Designation : Company Secretary & Compliance Officer

ANNEXURE IV

%symbol%	%companyName%	%quarterEnded%
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(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to

Aggregate amount advanced during six months				Balance outstanding at the end of six months			
<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>	<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>
0	0	0	0	0	0	0	0

(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
<i>Promoter or any other entity controlled by them</i>	0	0	0
<i>Promoter Group or any other entity controlled by them</i>	0	0	0
<i>Directors (including relatives) or any other entity controlled by them</i>	0	0	0
<i>KMPs or any other entity controlled by them</i>	0	0	0

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of Security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
<i>Promoter or any other entity controlled by them</i>	0	0	0
<i>Promoter Group or any other entity controlled by them</i>	0	0	0
<i>Directors (including relatives) or any other entity controlled by them</i>	0	0	0
<i>KMPs or any other entity controlled by them</i>	0	0	0

(D) If the Listed Entity would like to provide any other information the same may be indicated here

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Affirmations	
<p><i>All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company</i></p>	
Company Remarks in case of non-compliant status	

<p>Name: Mr. B B Mehta Designation: Chief Financial Officer Place: Gurgaon Date: 14-Oct-2022</p>
