

**ANNEXURE I**

**Format to be submitted by listed entity on quarterly basis**

1. Name of Listed Entity - **Mawana Sugars Limited**  
 2. Quarter ending - **30-Sep-2020**

**i. Composition Of Board Of Director**

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chairperson /Executive/Non - Executive/ Independent/ Nominee)	Initial Date of Appointment	Date of Appointment	Tenure	Date of Birth	Whether special resolution passed? [Refer Reg. 17 (1A) of Listing Regulations]	Date of passing special resolution	No. of Directorship in listed entities including this listed entity [in reference to Regulation 17A (1)]	No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A (1)]	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Memberships in Committees of the Company	Remarks
Mr.	Dharam Pal Sharma	07259344	ARMPS8801L	ED	13-Aug-2015	26-Jun-2020		06-Jan-1953	NA		1	0	0	0	RMC	
Mr.	Dinesh Mohan	00077959	AEJPM9529H	ID,C & NED	05-May-1992	01-Jan-2020	60	04-Oct-1945	Yes	30-Mar-2019	1	1	2	0	AC,SC,RC,NRC	
Mr.	Ravinder Singh Bedi	01408189	AFBPB3894F	ID	28-Mar-2007	01-Jan-2020	60	23-Oct-1943	Yes	30-Mar-2019	1	1	2	2	AC,SC,RC,NRC	
Mr.	Piar Chand Jaswal	07100098	AGQPJ9022K	NED	18-Feb-2015	26-Jun-2020		01-Apr-1961	NA		1	1	2	0	AC,SC,RC,NRC	
Mrs.	Manju Vira Gupta	05186954	AAPPG0427L	ID	26-Dec-2018	26-Dec-2018	60	14-Dec-1943	Yes	30-Mar-2019	1	1	2	0	AC,SC,NRC	

Company Remarks	The Board of Directors of the Company consisting of 5 directors. The Company is in process of selecting the director. In this process, the Company has requested some persons to act as Director, however, due to the Corona Pandemic situation, they had shown their inability to join the Board of the Company at present.
Whether Permanent chairperson appointed	No
Whether Chairperson is related to MD or CEO	No

**ii. Composition of Committees**

**a. Audit Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Ravinder Singh Bedi	ID	Chairperson	16-Aug-2010	

2	Dinesh Mohan	ID,C & NED	Member	12-Dec-2001	
3	Piar Chand Jaswal	NED	Member	18-Feb-2015	
4	Manju Vira Gupta	ID	Member	08-Feb-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**b. Stakeholders Relationship Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Ravinder Singh Bedi	ID	Chairperson	30-Jul-2014	
2	Dinesh Mohan	ID,C & NED	Member	12-Dec-2001	
3	Piar Chand Jaswal	NED	Member	18-Feb-2015	
4	Manju Vira Gupta	ID	Member	08-Feb-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**c. Risk Management Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Ravinder Singh Bedi	ID	Chairperson	05-Nov-2014	
2	Dinesh Mohan	ID,C & NED	Member	05-Nov-2014	
3	Piar Chand Jaswal	NED	Member	18-Feb-2015	
4	Dharam Pal Sharma	ED	Member	09-Jun-2017	
5	B B Mehta	Member	Member	08-Feb-2019	
6	R K Gangwar	Member	Member	09-Jun-2017	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**d. Nomination and Remuneration Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Ravinder Singh Bedi	ID	Chairperson	26-Oct-2007	
2	Dinesh Mohan	ID,C & NED	Member	16-Dec-2002	
3	Piar Chand Jaswal	NED	Member	18-Feb-2015	
4	Manju Vira Gupta	ID	Member	08-Feb-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**iii. Meeting of Board of Directors**

Date(s) of meeting of the committee(s) in the previous quarter and in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present (All directors including Independent Director)	Number of Independent Directors present
26-Jun-2020	Yes		5	3
10-Aug-2020	Yes		5	3

Company Remarks	
Maximum gap between any two consecutive (in number of days)	37

**iv. Meeting of Committees**

Name of the Committee	Date(s) of meeting of the committee(s) in the previous quarter and in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Total Number of Directors attended the meeting (All directors including Independent Director)	Number of Independent directors attending the meeting	Number of Members attending the Meeting (Other than Board of Directors)
Audit Committee	26-Jun-2020	Yes		4	3	
Audit Committee	10-Aug-2020	Yes		4	3	
Stakeholders Relationship Committee	10-Aug-2020	Yes		4	3	

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	44

v. **Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. **Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **No**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee - **Yes**
  - b. Nomination & remuneration committee - **Yes**
  - c. Stakeholders relationship committee - **Yes**
  - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
5.
  - a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
  - b. Any comments/observations/advice of Board of Directors may be mentioned here:

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**Name** : **Ashok Kumar Shukla**  
**Designation** : **Compliance Officer**

**ANNEXURE III**

**Affirmations**

<b>Broad Heading</b>	<b>Regulation Number</b>	<b>Compliance Status</b>	<b>Company Remark</b>
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

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**Name** : **Ashok Kumar Shukla**  
**Designation** : **Compliance Officer**

**ANNEXURE IV**

%symbol%	%companyName%	%quarterEnded%
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**(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to**

Aggregate amount advanced during six months				Balance outstanding at the end of six months			
<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>	<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>

**(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:**

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
<i>Promoter or any other entity controlled by them</i>			
<i>Promoter Group or any other entity controlled by them</i>			
<i>Directors (including relatives) or any other entity controlled by them</i>			
<i>KMPs or any other entity controlled by them</i>			

**(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:**

Entity	Type of Security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
<i>Promoter or any other entity controlled by them</i>			
<i>Promoter Group or any other entity controlled by them</i>			
<i>Directors (including relatives) or any other entity controlled by them</i>			
<i>KMPs or any other entity controlled by them</i>			

**(D) If the Listed Entity would like to provide any other information the same may be indicated here**

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<b>Affirmations</b>	
<p><i>All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company</i></p>	
<p><b>Company Remarks in case of non-compliant status</b></p>	

**Name:**  
**Designation:** anx4Designation  
**Place:**  
**Date:**