

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **Mawana Sugar Limited**
 2. Quarter ending - **September, 2019**

i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chairperson /Executive/Non-Executive/Independent/Nominee)	Initial Date of Appointment	Date of Appointment	Tenure	Date of Birth	No. of Directors in listed entities including this listed entity	No of Independent Directors in listed entities including this listed entity	No of members in Audit / Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company
Mr.	Dharam Pal Sharma	07259344	ARMPS8801L	ED	13-Aug-2015	13-Aug-2015		06-Jan-1953	1	0	0	0	RMC
Mr.	Dinesh Mohan	00077959	AEJPM9529H	ID,C & NED	05-May-1992	23-Mar-2015	60	04-Oct-1945	1	1	1	0	AC,SC,RMC,NRC
Mr.	Ravinder Singh Bedi	01408189	AFBPB3894F	ID	28-Mar-2007	23-Mar-2015	60	23-Oct-1943	1	1	1	2	AC,SC,RMC,NRC
Mr.	Piar Chand Jaswal	07100098	AGQPJ9022K	NED	18-Feb-2015	13-Jun-2016		01-Apr-1961	1	1	1	0	AC,SC,RMC,NRC
Mrs.	Manju Vira Gupta	05186954	AAPPG0427L	ID	26-Dec-2018	26-Dec-2018	60	14-Dec-1943	1	1	1	0	AC,SC,NRC

Company Remarks	
Whether Permanent chairperson appointed	No
Whether Chairperson is related to MD or CEO	No

ii. Composition of Committees

a. Audit Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Manju Vira Gupta	ID	Member	08-Feb-2019	
2	Piar Chand Jaswal	NED	Member	18-Feb-2015	
3	Dinesh Mohan	ID,C & NED	Member	12-Dec-2001	
4	Ravinder Singh Bedi	ID	Chairperson	16-Aug-2010	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Manju Vira Gupta	ID	Member	08-Feb-2019	
2	Piar Chand Jaswal	NED	Member	18-Feb-2015	
3	Dinesh Mohan	ID,C & NED	Member	12-Dec-2001	
4	Ravinder Singh Bedi	ID	Chairperson	30-Jul-2014	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	R K Gangwar	Member	Member	09-Jun-2017	
2	Piar Chand Jaswal	NED	Member	18-Feb-2015	
3	Dinesh Mohan	ID,C & NED	Member	05-Nov-2014	
4	Ravinder Singh Bedi	ID	Chairperson	05-Nov-2014	

5	Dharam Pal Sharma	ED	Member	09-Jun-2016	
6	B B Mehta	Member	Member	08-Feb-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

d. Nomination and Remuneration Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Manju Vira Gupta	ID	Member	08-Feb-2019	
2	Piar Chand Jaswal	NED	Member	18-Feb-2015	
3	Dinesh Mohan	ID,C & NED	Member	16-Dec-2002	
4	Ravinder Singh Bedi	ID	Chairperson	26-Oct-2007	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
24-May-2019	02-Aug-2019	Yes	5	4
24-May-2019	13-Aug-2019	Yes	5	4

Company Remarks	
Maximum gap between any two consecutive (in number of days)	69

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee	Date(s) of meeting of the committee in the	Whether requirement of Quorum	Number of Directors present	Number of independent directors
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	in the previous quarter	relevant quarter	met (Yes/No)		present
Audit Committee	24-May-2019	13-Aug-2019	Yes	4	4

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	80

v. Related Party Transactions

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**

b. Any comments/observations/advice of Board of Directors may be mentioned here:

%affirmComments%

Name : %affirmName%
Designation : %affirmDesignation%

ANNEXURE III

Affirmations

Broad Heading	Regulation Number	Compliance Status	Company Remark
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

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Name : **Ashok Kumar Shukla**
Designation : **Company Secretary & Compliance Officer**